No. 9600383

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Pioneer Hi-Bred International, Inc.

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE PURPOSE, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PERMITTED BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'9396'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this ninth day of February, in the year of our Lord two thousand one.

Attest:

Acting Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

Secretary of Agriculture

REPRODUCE LOCALLY. *Include form number and date on all reproductions.*

FORM APPROVED - OMB NO. 0581-0055

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE DIVISION - PLANT VARIETY PROTECTION OFFICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions and information collection burden statement on reverse)

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a).

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

1. NAME OF APPLICANT(S) *(as it is to appear on the Certificate)*
Pioneer Hi-Bred International, Inc.

2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER

3. VARIETY NAME
9396

4. ADDRESS *(Street and No., or R.F.D. No., City, State, and ZIP Code, and Country)*
700 Capital Square
400 Locust Street
Des Moines, Iowa 50309

5. TELEPHONE *(include area code)*
515/270-3582

6. FAX *(include area code)*
515/253-2288

FOR OFFICIAL USE ONLY
PVPO NUMBER: 9600383
FILING DATE: Aug. 30, 1996
FILING AND EXAMINATION FEE: $ 2450 00
FEES RECEIVED DATE: Aug. 23, 1996
CERTIFICATION FEE: 320 00
DATE: 10/6/00

7. GENUS AND SPECIES NAME
Glycine max L.

8. FAMILY NAME *(Botanical)*
Luguminosae

9. CROP KIND NAME *(Common name)*
Soybean

10. IF THE APPLICANT NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION *(corporation, partnership, association, etc.) (Common name)*
Corporation

11. IF INCORPORATED, GIVE STATE OF INCORPORATION
Iowa

12. DATE OF INCORPORATION
May 6, 1926

13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS

John Grace
7300 NW 62nd Ave.
P.O. Box 1004
Johnston, Iowa 50131-1004

Debra Blair (Copy)
700 Captial Square
400 Locust St.
Des Moines, Iowa 50309

(include area code)
515/270-3582

15. FAX *(include area code)*
515/253-2288

16. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED *(Follow instructions on reverse)*

- a. ☑ Exhibit A. Origin and Breeding History of the Variety
- b. ☑ Exhibit B. Statement of Distinctness
- c. ☑ Exhibit C. Objective Description of the Variety
- d. ☑ Exhibit D. Additional Description of the Variety
- e. ☑ Exhibit E. Statement of the Basis of the Applicant's Ownership
- f. ☑ Voucher Sample *(2,600 viable untreated seeds or, for tuber propagated varieties verification that tissue culture will be deposited and maintained in a public repository)*
- g. ☑ Filing and Examination Fee ($2450), made payable to "Treasurer of the United States" *(Mail to PVPO)*

17. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY, AS A CLASS OF CERTIFIED SEED *(See Section 83(a) of the Plant Variety Protection Act)?*

☐ YES *If "yes," answer items 18 and 19 below)* ☑ NO *If "no," go to item (20)*

18. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS?

☐ YES ☐ NO

19. IF "YES" TO ITEM 18, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED?

☐ FOUNDATION ☐ REGISTERED ☐ CERTIFIED

20. HAS THE VARIETY OR A HYBRID PRODUCED FROM THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETED IN THE U.S. OR OTHER COUNTRIES?

☑ YES *(If "yes," give names of countries and dates)* ☐ NO

U.S. - 1996

21. The applicant(s) declare that a viable sample of basic seed of the variety will be furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate

The undersigned applicant(s) is(are) the owner(s) of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required Section 41, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act.

Applicant(s) is(are) informed that false representation herein can jeopardize protection and result in penalties.

SIGNATURE OF APPLICANT *(Owner(s))*		SIGNATURE OF APPLICANT *(Owner(s))*	
D. John Grace, III			
NAME *(Please print or type)* D. John Grace III		NAME *(Please print or type)*	
CAPACITY OR TITLE Soybean Research Coordinator	DATE 8/20/96	CAPACITY OR TITLE	DATE

SD-470 (1-95) *(Previous editions are to be destroyed)*

(See reverse for instructions and information collection burden statement)

<u>Exhibit A.</u> Origin and Breeding History of the Variety

Soybean Variety 9396

Variety 9396 evolved from a 1993 cross of (9381/9381/9392/9392/A5403*)

9396 is an F_2-derived variety. The F_3 progeny row of 9396 was grown in the winter of 1993-94 in Salinas, Puerto Rico. Subsequently, 9396 has undergone 2 years of testing and purification and has been observed by the breeder to be uniform and stable for all plant traits from generation to generation, with no evidence of variants. On the basis of yield performance and enhanced tolerance to glyphosate, 9396 was released for sale.

The purification block was grown and 59 sublines were bulked for increase. Thirty acres of 9396 (breeders seed) were grown in the summer of 1995. Three hundred and five acres of parent seedstock (foundation seed equivalent) were grown in the winter of 1995-96 in Chile and an estimated 10,677 bushels will be harvested.

*A5403 transformed with the Roundup Ready(TM) gene.
*Roundup Ready(TM) gene, Trademark, Monsanto Company.

Exhibit B. Statement of Distinctness

Soybean Variety 9396

Variety 9396 is different from other soybean varieties in that it has enhanced tolerance to glyphosate.

FORM APPROVED: OMB NO. 0581-0055

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SEED DIVISION - PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

EXHIBIT C
(Soybean)

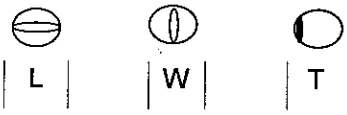
OBJECTIVE DESCRIPTION OF VARIETY

SOYBEAN (Glycine max L.)

NAME OF APPLICANT(S)	TEMPORARY DESIGNATION	VARIETY NAME
Pioneer Hi-Bred International, Inc.		9396
ADDRESS *(Street and No., or R.F.D. No., City, State, and ZIP Code)*		FOR OFFICIAL USE ONLY
7300 N.W. 62nd Ave., P.O. Box 1004 Johnston, IA 50131-1004		PVPO NUMBER 9600383

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero on the first box when number is 9 or less (e.g., [0][9]). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



[1]

1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)
2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)
4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

[1] 1 = Yellow 2 = Green 3 = Brown 4 = Black 5 = Other (Specify)

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

[ ] 1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

[1][6] Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

[6] 1 = Buff 2 = Yellow 3 = Brown 4 = Gray 5 = Imperfect Black 6 = Black 7 = Other (Specify)

★ 6. COTYLEDON COLOR: (Mature Seed)

[1] 1 = Yellow 2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

[1] 1 = Low 2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

[ ] 1 = Type A (SP1 a) 2 = Type B (SP1 b)

★ 9. HYPOCOTYL COLOR:

[1] 1 = Green only ('Evans'; 'Davis') 2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')
3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')
4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ 10. LEAFLET SHAPE:

[3] 1 = Lanceolate 2 = Oval 3 = Ovate 4 = Other (Specify)

4

Variety Name 9396

11. LEAFLET SIZE:

[2] 1 = Small ('Amsoy 71'; 'A5312') 2 = Medium ('Corsoy 79'; 'Gasoy 17')
3 = Large ('Crawford'; 'Tracy')

12. LEAF COLOR:

[2] 1 = Light Green ('Weber'; 'York') 2 = Medium Green ('Corsoy 79'; 'Braxton')
3 = Dark Green ('Gnome'; 'Tracy')

★ **13. FLOWER COLOR:**

[1] 1 = White 2 = Purple 3 = White with purple throat

★ **14. POD COLOR:**

[1] 1 = Tan 2 = Brown 3 = Black

★ **15. PLANT PUBESCENCE COLOR:**

[2] 1 = Gray 2 = Brown (Tawny)

16. PLANT TYPES:

[2] 1 = Slender ('Essex'; 'Amsoy 71') 2 = Intermediate ('Amcor'; 'Braxton')
3 = Bushy ('Gnome'; 'Govan')

★ **17. PLANT HABIT:**

[3] 1 = Determinate ('Gnome'; 'Braxton') 2 = Semi-Determinate ('Will')
3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

★ **18. MATURITY GROUP:**

[0][6] 1 = 000 2 = 00 3 = 0 4 = I 5 = II 6 = III 7 = IV 8 = V
9 = VI 10 = VII 11 = VIII 12 = IX 13 = X

★ **19. DISEASE REACTION:** (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

★ [0] Bacterial Pustule *(Xanthomonas phaseoli var. sojensis)*

★ [1] Bacterial Blight *(Pseudomonas glycinea)*

★ [0] Wildfire *(Pseudomonas tabaci)*

FUNGAL DISEASES:

★ [1] Brown Spot *(Septoria glycines)*

Frogeye Leaf Spot *(Cercospora sojina)*

★ [0] Race 1 [0] Race 2 [0] Race 3 [0] Race 4 [0] Race 5 [ ] Other (Specify)

[0] Target Spot *(Corynespora cassiicola)*

[0] Downy Mildew *(Peronospora trifoliorum var. manshurica)*

[0] Powdery Mildew *(Microsphaera diffusa)*

★ [0] Brown Stem Rot *(Cephalosporium gregatum)*

[0] Stem Canker *(Diaporthe phaseolorum var. caulivora)*

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19. DISEASES REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

FUNGAL DISEASES: (Continued)

★ [1] Pod and Stem Blight *(Diaporthe phaseolorum var; sojae)*

[0] Purple Seed Stain *(Cercospora kikuchii)*

[1] Rhizoctonia Root Rot *(Rhizoctonia solani)*

Phytophthora Rot *(Phytophthora megasperma var. sojae)*

★ [1] Race 1 [1] Race 2 [1] Race 3 [1] Race 4 [1] Race 5 [0] Race 6 [1] Race 7

[1] Race 8 [1] Race 9 [ ] Other (Specify)

VIRAL DISEASES:

[1] Bud Blight (Tobacco Ringspot Virus)

[1] Yellow Mosaic (Bean Yellow Mosaic Virus)

★ [1] Cowpea Mosaic (Cowpea Chlorotic Virus)

[1] Pod Mottle (Bean Pod Mottle Virus)

★ [1] Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

Soybean Cyst Nematode *(Heterodera glycines)*

★ [0] Race 1 [0] Race 2 [1] Race 3 [0] Race 4 [ ] Other (Specify)

[0] Lance Nematode *(Hoplolaimus Colombus)*

★ [0] Southern Root Knot Nematode *(Meloidogyne incognita)*

★ [0] Northern Root Knot Nematode *(Meloidogyne Hapla)*

[0] Peanut Root Knot Nematode *(Meloidogyne arenaria)*

[0] Reniform Nematode *(Rotylenchulus reniformis)*

[ ] OTHER DISEASE NOT ON FORM (Specify)

20. PHYSIOLOGICAL RESPONSES: (ENTER 0 = Not tested, 1 = Susceptible, 2 = Resistant)

★ [0] Iron Chlorosis on Calcareois Soil

[ ] Other (Specify)

21. INSECT REACTION: (ENTER 0 = Not tested, 1 = Susceptible, 2 = Resistant)

[0] Mexican Bean Beetle *(Epilachna Varivestis)*

[0] Potato Leaf Hopper *(Empoasca fabae)*

[ ] Other (Specify)

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	9392	Seed Coat Luster	
Leaf Shape	9381	Seed Size	9381
Leaf Color	9381	Seed shape	9392
Leaf Size	9381	Seedling Pigmentation	9381

Variety Name 9396

23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY : Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEED	NO. SEEDS POD
				CM Width	CM Length	% Protein	% Oil		
Submitted	121.0	1.7	99			40.8	22.1	11.9	3
Name of Similar 9392	121.5	1.5	102			41.9	21.6	12.3	3

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A2 in the USDA soybean germplasm collection. Crop. Sci., 13: 420-421
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1:1-19

<u>Exhibit D.</u> Additional Description of the Variety

Soybean Variety 9396

In Exhibit C we have identified variety 9396 as susceptible to bacterial blight, brown spot, pod and stem blight, rhizoctonia root rot, bud blight, yellow mosaic, cowpea mosaic, pod mottle and seed mottle.

This does not mean that variety 9396 is any worse for these problems than other varieties of similar maturity. Rather, we do not consider 9396 to be immune to these problems. Therefore, we have chosen to be conservative and have identified the line as "susceptible".

Variety 9396 is a late group III variety. If group III maturities are divided into tenths, the relative maturity of 9396 is 3.9.

<u>Exhibit E.</u> Statement of the Basis of Applicant's Ownership

Soybean Variety 9396

Variety 9396 was originated and developed by U.S. plant breeders from whom, by agreement, Pioneer Hi-Bred International, Inc. has obtained exclusive rights to variety 9396. No rights to variety 9396 are retained by the plant breeder or by any other party.